STATE OF UTAH DIVISION OF OCCUPATIONAL AND PROFESSIONAL LICENSING

APPLICATION FOR LICENSURE

CONTRACT SECURITY COMPANY

APPLICATION INSTRUCTIONS AND INFORMATION

General Statement: The Utah Division of Occupational and Professional Licensing (DOPL) desires to provide courteous and timely service to all applicants for licensure. To facilitate the application process, submit a complete application form including all applicable supporting documents and fees. Failure to submit a complete application and supply all necessary information will delay processing and may result in denial of licensure. The fees are for processing your application and will not be refunded. Please read all instructions carefully.

Address of Record: The address you provide on this application will be your address of record. All correspondence from DOPL will be sent to that address. You are responsible to directly notify DOPL of any change to your address of record. Do not rely on a forwarding order.

Social Security Number: The qualifying agent's social security number is classified as a private record under the Utah Government Records Access and Management Act. It is used by DOPL as an individual identifier. It is also used for child support enforcement pursuant to Subsection 78-32-17(3) and is mandatory pursuant to Subsection 58-1-301(1), Utah Code Ann., which implements 42 U.S.C. 666(a)(13). If an SSN is not provided, the application is incomplete and may be denied.

SUPPORTING DOCUMENTS AND FEES:

In addition to submitting a completed application, complete the following:

- 1. Submit the original letter from Thomson Prometric (formerly Experior) documenting that the qualifying agent has passed the Utah Security Personnel Law and Rules Examination.
- 2. Submit two (2) blue applicant fingerprint cards (Form FD-258) for the qualifying agent and each officer, director, partner, proprietor, and responsible management personnel employed within the state or having direct responsibility for managing operations of the applicant within the state and shareholders owning more than 5% of the stock unless the company is publicly listed and traded, to be used by DOPL for a fingerprint search through the files of the Utah Bureau of Criminal Identification (BCI) and the Federal Bureau of Investigation (FBI).
- 3. Submit a copy of the driver's license or Utah identification card clearly indicating the

driver's license number or Utah ID number for the qualifying agent and each officer, director, shareholder owning more than 5% of the stock, partner, proprietor, and responsible management personnel.

- 4. Submit a resume describing in detail the qualifying agent's 6,000 hours of qualifying experience as a manager, supervisor, or administrator of a contract security company or as a supervisor of a federal, state, county, or local law enforcement agency. Include information naming the contract security company(s) the qualifying agent worked for and the positions the qualifying agent held with each contract security company or law enforcement agency. Describe in detail the supervisory or administrative responsibilities held in each position.
- 5. Submit a current "Certificate of Insurance" demonstrating liability insurance for the following exposures: **general liability, assault and battery, personal injury, false arrest, liable and slander, invasion of privacy, broad form property damage, damage to property in the care, custody and control of the contract security, and errors and omissions.** Coverage issued by the company's insurance carrier must contain monetary limits in amounts not less than \$300,000 for each incident and not less than \$1,000,000 total aggregate for each annual term.

NOTE: It is the responsibility of the applicant to ensure that the applicant's insurance coverage remains current and to update DOPL each time the applicant's insurance coverage is renewed.

- 6. Submit documentation of workers' compensation insurance that covers employees in accordance with applicable Utah law.
- 7. Submit documentation of registration with the Utah Division of Corporations and Commercial Code.
- 8. Submit documentation of registration with the Utah Division of Workforce Information and Payment Services, Utah State Tax Commission, and Internal Revenue Service.
- 9. Submit a "Request for Verification of Qualifying Experience" form (attached to this application) documenting the qualifying agent's qualifying experience consisting of 6,000 hours of experience as a manager, supervisor, or administrator of a contract security company or of supervisory experience with a federal, United States military, state, county, or municipal law enforcement agency.
- 10. Submit a "Request for Verification of License" form (attached to this application) from each and every state in which the applicant has ever been licensed as a contract security company.

- 11. Submit a \$330.00 non-refundable application-processing fee for a contract security company license, made payable to "DOPL."
- 12. Submit a **\$15.00** non-refundable surcharge for a BCI fingerprint file search for the qualifying agent and each officer, director, shareholder owning more than 5% of the stock, partner, proprietor, and responsible management personnel.
- 13. Submit a **\$24.00** non-refundable surcharge for an FBI fingerprint file search for the qualifying agent and each officer, director, shareholder owning more than 5% of the stock, partner, proprietor, and responsible management personnel.

ADDITIONAL IMPORTANT INFORMATION:

1. **Law and Rules Exam:** The applicant's qualifying agent must pass the Utah Security Personnel Law and Rules Examination. Contact Thomson Prometric (formerly Experior) at 1-800-882-3981 to register for the examination.

A study guide, which has been prepared to assist candidates taking law exams, may also be purchased from Thomson Prometric. In addition, the following applicable laws and rules are available on the Internet at www.dopl.utah.gov:

- Division of Occupational & Professional Licensing Act
- General Rules of the Division of Occupational & Professional Licensing
- □ Security Personnel Licensing Act
- □ Security Personnel Licensing Act Rules
- 2. **Current Documents:** Applications, statutes, rules, and forms are occasionally changed. Go to www.dopl.utah.gov to ensure you have the most recent version of these documents.
- 3. **Examination Fees:** There are separate fees for all examinations. It is the responsibility of the applicant to submit the fees directly to the testing agency.
- 4. **License Renewal:** All licenses will expire every July 31st of every even-numbered year.

Unlike many other states, Utah's license renewal schedule **is not** based on the licensee's date of initial licensure. Under Utah's renewal system, all licenses in each profession expire as a group on the same day every two years. Therefore, the length of a licensee's first renewal cycle depends on how far into the current renewal cycle initial licensure was obtained. Each renewal cycle thereafter is for a full two years.

Additionally, the fee paid with this application for licensure is an application-processing fee only. It does not include a renewal fee. Each licensee is responsible to renew licensure **PRIOR** to the expiration date shown on the current license. Approximately two months prior to the expiration date shown on the license, renewal information is disseminated to each licensee's last address of record, as provided to DOPL.

5. **Fingerprint Information:** All applicants are required to include two (2) applicant fingerprint cards with their application. Applicant fingerprint cards are supplied with the application if you obtain the application from DOPL or from Thomson Prometric. If you downloaded the application from the Internet, you may obtain fingerprint cards from DOPL, the Bureau of Criminal Identification (BCI), or your local police station.

NOTE: DOPL will not roll your fingerprints. To have your fingerprints rolled, you must go to BCI or your local police station. We strongly recommend that you go directly to BCI to roll your fingerprints and then include the complete fingerprint cards with your application. Fingerprint cards that are not complete and/or do not have adequate fingerprints will be rejected, leading to a delay in the licensure process.

BUREAU OF CRIMINAL IDENTIFICATION (BCI) INFORMATION:

- 1. \$10.00 fee for up to three fingerprint cards
- 2. Walk-ins only; no appointments taken
- 3. Open 8:00 a.m. 5:00 p.m., Monday Friday except holidays
- 4. Government-issued picture ID required (driver's license, state ID, passport, etc.)
- 5. Website: www.bci.utah.gov
- 6. Address: 3888 W. 5400 S., Taylorsville, UT 84118 (1/2 block west of Bangerter Highway, behind McDonalds)

REVIEW OF YOUR FBI RECORD: You have the right to review your FBI record and to complete, or challenge the accuracy of, the information contained in that record. If you wish to review your FBI record, contact the FBI field office that serves your area for instruction on the procedure and any applicable fees. All residents of Utah should direct their inquiries to the Salt Lake Field Office, 257 East 200 South, Suite 1200, Salt Lake City, Utah 84111. Telephone (801) 579-1400

- 6. **Application Processing:** DOPL requires approximately two weeks to process a complete application for licensure. In addition, an FBI file search takes approximately 12 weeks. An applicant may not begin working as a Contract Security Company until the application has been approved.
- 7. **Updating Address Information:** It is your responsibility to maintain a current address with DOPL. If your address is incorrect, you will not receive renewal notices or other correspondence. Address changes can be made online at www.dopl.utah.gov.
- 8. **Registration with the Utah Division of Corporations and Commercial Code:** Pursuant to Subsection 58-1-308(2)(a) a license automatically expires prior to the expiration date shown upon the license....upon the dissolution of a licensee that is a partnership, corporation, or other business entity is dissolved by merging into a new partnership, corporation, or other business entity the surviving partnership, corporation, or other business entity must apply for new licensure.
- 9. **Mail Complete Application to:**

By U.S. Mail

Division of Occupational & Professional Licensing P.O. Box 146741 Salt Lake City, Utah 84114-6741

By Delivery or Express Mail

Division of Occupational & Professional Licensing 160 East 300 South, 1st Floor Lobby Salt Lake City, Utah 84111

10. **Telephone Numbers:** (801) 530-6628

(866) ASK-DOPL - Toll-free in Utah

(866) 275-3675

11. **Fax Number:** (801) 530-6511

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APPLICATION FOR LICENSURE

The business legal name is the name that will appear on the license. This is normally the name registered with the Utah Division of Corporations. If there is a fictitious business name (doing business as), list that name also, e.g., XYZ Corporation dba XYZ Contract Security Company. If the applicant is not required to be registered with the Division of Corporations, it is the name of the contract security company or facility where the licensed activity is to be conducted.

APPLICATION FOR:	CONTRACT SECURITY CO	OMPANY	
BUSINESS LEGAL NAME:			
FEDERAL TAX ID NUMBER:			
MAILING ADDRESS:			
Street:			
City:	State:	Zip:	
County:	Telephone:		
DO NOT WRITE IN THIS SECTION	ON - FOR DIVISION USE OF	NLY	
License/Certificate Number:	_		
License/Certificate Number:			
Date License/Certificate Approved:			
Approved By:			
Date License/Certificate Denied:			
Denied By:	_		
Reason for Denial/Other Comments:			

GENERAL INFORMATION:

CONTACT PERSON FOR LICENSING PURPOSES:

Full Name and Title:		
Mailing Address:		
City:	State:	Zip:
Telephone:	Fax:	
QUALIFYING AGENT:		
Full Name and Title:		
Social Security Number:		
Mailing Address:		
City:	State:	Zip:
Telephone:		
Relationship of Qualifying Agen	t to Contract Security Compar	ny: (Check all that apply.)
Officer	Director	Partner
Proprietor	Manager	
EXAMINATION REQUIREMENT:		
Answer "yes" or "no."		
The Utah Contract Security	Law and Rules Exam, Date(s) Taken:

QUALIFYING EXPERIENCE REQUIREMENT:

Please attach a resume describing in detail the qualifying agent's 6,000 hours of qualifying experience as a manager, supervisor, or administrator of a contract security company or as a supervisor of a federal, state, county, or local law enforcement agency. Include information naming the contract security company(s), the qualifying agent worked for and the positions the qualifying agent held with each contract security company or law enforcement agency. Describe in detail the supervisory or administrative responsibilities held in each position.

ACT AND RULES CERTIFICATION:

I hereby certify that all officers, directors, shareholders, partners, proprietors, and responsible management personnel of the applicant have read and understand the Utah Security Personnel Licensing Act and the Security Personnel Licensing Act Rules.

Signature of Qualifying A	Agent or Other Responsible Party:	
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IDENTIFYING INFORMATION FOR BUSINESS ENTITY:

Supply the identifying information below for all corporate officers, directors, registered agents, and shareholders of a corporation (not required if publicly traded); all partners of a partnership; the sole proprietor of a sole proprietorship; all persons who have an ownership or management responsibility for a limited liability company or other type of business form. Ownership must total 100%. Use additional sheets if necessary.

Full Name:		
Social Security Number:		Date of Birth:
Position Title:		Percent Owned:
Mailing Address:		
City:	State:	Zip:
Full Name:		
Social Security Number:		Date of Birth:
Position Title:		Percent Owned:
Mailing Address:		
City:	State:	Zip:
Full Name:		
Social Security Number:		
Position Title:		Percent Owned:
Mailing Address:		
City:	State:	Zip:
PUBLIC LIABILITY INSURANCE:		

Name of Carrier:
Named of Insured on the Certificate:
Address of Insured on the Certificate:
Amount of Coverage: Each Incident: Total:
Expiration Date:
UTAH DEPARTMENT OF WORKFORCE SERVICES – UNEMPLOYMENT INSURANCE:
Name Under Which the Applicant is Registered:
Department of Employment Security Registration Number:
WORKERS' COMPENSATION INSURANCE:
Name of Carrier:
Named of Insured on the Certificate:
Address of Insured on the Certificate:
Expiration Date:
UTAH STATE TAX COMMISSION:
Name Under Which the Applicant is Registered:
Employer Payroll Tax Withholding Identification Number:
INTERNAL REVENUE SERVICE (IRS):
Name Under Which the Applicant is Registered:
Federal Employer Identification Number:

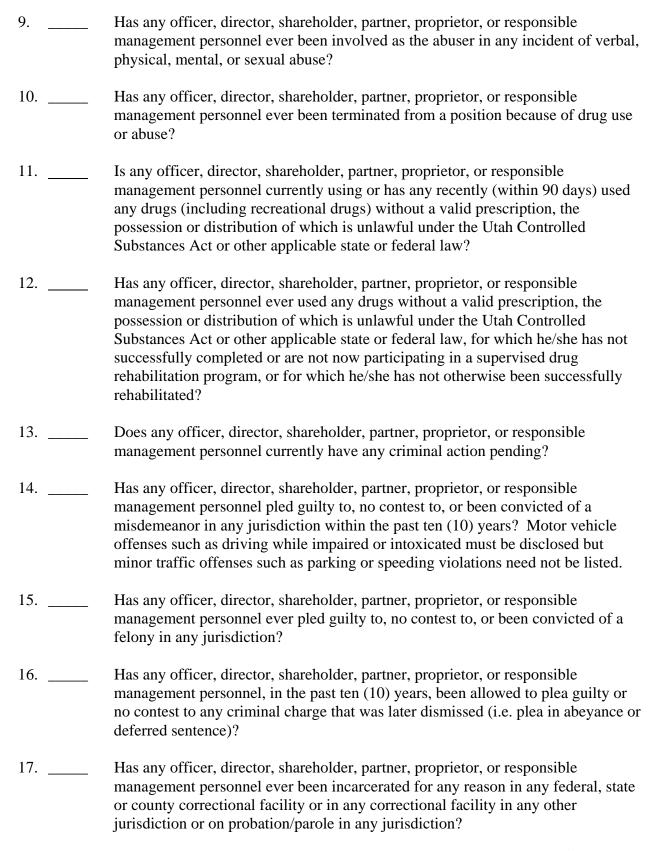
AFFIDAVIT CLAIMING NO EMPLOYEES:

Applicant's Business Legal Name:
I declare under penalty of perjury as follows:
I am authorized to sign this Affidavit on behalf of the above named applicant. The applicant does not at the present time hire employees and does not intend to do so within the foreseeable future. If the applicant later wishes to begin hiring employees, the applicant will first register with each of the payroll tax authorities as listed above and obtain workers' compensation insurance and provide evidence of each registration and a certificate of workers' compensation insurance to DOPL. The applicant is being granted an exemption to the requirements of Utah Code Ann. Section 58-63(1)(j) based upon this affidavit and agreement. The applicant's failure to fulfill the above requirements will be considered unprofessional conduct and may be the basis for disciplinary action which could include a warning, reprimand, probation, suspension, or revocation of the applicant's Contract Security Company license. This affidavit is considered a public document and may be released to any party including payroll tax authorities.
Signature of Applicant or Applicant's Representative:
Date of Signature:

CONTRACT SECURITY COMPANY QUALIFYING QUESTIONNAIRE

Answer "yes" or "no" for each question. Do not leave any question blank. Has any officer, director, shareholder, partner, proprietor, or responsible management personnel ever applied for or received a license, certificate, permit, or registration to practice in a regulated profession under any name other than the name listed on this application? 2. Has any officer, director, shareholder, partner, proprietor, or responsible management personnel ever been denied the right to sit for a licensure examination? 3. Has any officer, director, shareholder, partner, proprietor, or responsible management personnel ever had any license, certificate, permit, or registration to practice a regulated profession denied, conditioned, curtailed, limited, restricted, suspended, revoked, reprimanded, or disciplined in any way? Has any officer, director, shareholder, partner, proprietor, or responsible 4. management personnel ever been permitted to resign or surrender any license, certificate, permit, or registration to practice in a regulated profession while under investigation or while action was pending against him/her by any profession licensing agency or criminal or administrative jurisdiction? Is any officer, director, shareholder, partner, proprietor, or responsible 5. management personnel currently under investigation or is any disciplinary action pending against him/her now by any licensing agency or governmental agency? Is any action pending against any officer, director, shareholder, partner, 6. proprietor, or responsible management personnel now by either the Federal Drug Enforcement Administration or any state drug enforcement agency? 7. If you are licensed in the occupation/profession for which you are applying, would any officer, director, shareholder, partner, proprietor, or responsible management personnel pose a direct threat to himself/herself, to your clients, or to the public health, safety, or welfare because of any circumstance or condition? 8. Has any officer, director, shareholder, partner, proprietor, or responsible management personnel ever been declared by any court of competent jurisdiction incompetent by reason of mental defect or disease and not restored?

(Questions continue on following page.)



(Information continues on following page.)

If you answered "yes" to questions 13, 14, 15, 16, or 17 above, you must include with your application a copy of the police report, court docket, any probation/parole officer report, and a narrative of the circumstances that occurred for EACH and EVERY arrest and/or conviction.

If you are unable to obtain any of the records required above, you must submit documentation on official letterhead from the police department and/or court indicating that the information is no longer available.

If you have formally expunged a criminal record as evidenced by a court order signed by a judge, you do not need to disclose that criminal history. Please be aware that expungement orders must be sent to the Bureau of Criminal Identification and the FBI to enable the expungement to be completed and the criminal history eliminated from the records.

If you answered "yes" to any of the above questions, enclose with this application complete information with respect to all circumstances and the final result, if such has been reached.

A "yes" answer does not necessarily mean you will not be granted a license; however, the Division may request additional documentation if the information submitted is insufficient.

Note: "Responsible management personnel" includes all personnel employed within Utah or having direct responsibility for managing operations of the contract security company.

"Shareholder" includes all shareholders owning 5% or more of the outstanding shares of the corporation, except if the stock is publicly listed and traded.

AFFIDAVIT and RELEASE AUTHORIZATION

I am the applicant described and identified in this application for licensure, certification, or registration in the State of Utah.

I am qualified in all respects for the license, certificate, or registration for which I am applying in this application.

To the best of my knowledge, the information contained in the application and its supporting document(s) is free of fraud, misrepresentation, or omission of material fact.

To the best of my knowledge, the information contained in the application and its supporting document(s) is truthful, correct, and complete; and, discloses all material facts regarding the applicant and associated individuals necessary to properly evaluate the applicant's qualifications for licensure.

I will ensure that any information subsequently submitted to the Division of Occupational and Professional Licensing in conjunction with this application or its supporting documents meet the same standard as set forth above.

I understand that it is unlawful and punishable as a class A misdemeanor to apply for or obtain a license or to otherwise deal with the Division of Occupational and Professional Licensing or a licensing board through the use of fraud, forgery, or intentional deception, misrepresentation, misstatement, or omission.

I understand that this application will be classified as a public record and will be available for inspection by the public, except with regard to the release of information which is classified as controlled, private, or protected under the Government Records Access and Management Act or restricted by other law.

I authorize all persons, institutions, organizations, schools, governmental agencies, employers, references, or any others not specifically included in the preceding characterization, which are set forth directly or by reference in this application, to release to the Division of Occupational and Professional Licensing, State of Utah, any files, records, or information of any type reasonably required for the Division of Occupational and Professional Licensing to properly evaluate my qualifications for licensure/certification/registration by the State of Utah.

Signature of Applicant:		
Date of Signature:		
Printed Name of Applicant:		

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Utah Division of Occupational and Professional Licensing 160 East 300 South, P.O. Box 146741 Salt Lake City, Utah 84114-6741

FAX: (801) 530-6511

REQUEST FOR VERIFICATION OF QUALIFYING EXPERIENCE

PART I - TO BE COMPLETED BY THE APPLICANT: Complete Part I and submit a copy of the entire document to each Contract Security Company or Law Enforcement Agency where you received qualifying experience.

licant Name:			
ress:	City:	State:	Zip:
Contract Security Compa	any / Law Enforcement Ager	ncy:	
Phone:	Dates of Empl	oyment: from	to
Address:	City:	State:	Zip:
Number of hours as man	ager, supervisor, or administ	rator:	
Contract Security Compa	any / Law Enforcement Ager	ncy:	
Phone:	Dates of Empl	oyment: from	to
Address:	City:	State:	Zip:
Number of hours as man	ager, supervisor, or administ	rator:	
Contract Security Compa	any / Law Enforcement Ager	ncy:	
Phone:	Dates of Empl	oyment: from	to
Address:	City:	State:	Zip:
Number of hours as man	ager, supervisor, or administ	rator:	
licant Signature:		Date:	
	Contract Security Compa Phone: Address: Number of hours as man Contract Security Compa Phone: Address: Number of hours as man Contract Security Compa Phone: Address: Number of hours as man Contract Security Compa Phone: Address: Number of hours as man	Contract Security Company / Law Enforcement Ager Phone: Dates of Empl Address: City: Number of hours as manager, supervisor, or administ Contract Security Company / Law Enforcement Ager Phone: Dates of Empl Address: City: Number of hours as manager, supervisor, or administ Contract Security Company / Law Enforcement Ager Number of hours as manager, supervisor, or administ Contract Security Company / Law Enforcement Ager Phone: Dates of Empl Address: City: Number of hours as manager, supervisor, or administ	ress: City: State: Contract Security Company / Law Enforcement Agency: Phone: Dates of Employment: from Address: City: State: Number of hours as manager, supervisor, or administrator: Contract Security Company / Law Enforcement Agency: Phone: Dates of Employment: from Address: City: State: Number of hours as manager, supervisor, or administrator: Contract Security Company / Law Enforcement Agency: Phone: Dates of Employment: from Address: Dates of Employment: from Address: City: State: Number of hours as manager, supervisor, or administrator: Number of hours as manager, supervisor, or administrator:

(Continued on the reverse.)

PART II - TO BE COMPLETED BY AN OFFICIAL REPRESENTATIVE OF THE CONTRACT SECURITY COMPANY or LAW ENFORCEMENT AGENCY:

Please review Part I of this form and furnish the information requested below. Sign the document, place the completed form in a sealed envelope, and return it to the applicant.

Contract Security Company / Law Enforcement Agency:
Answer "yes" or "no."
Do you agree with the information listed on the reverse side of this page from the applicant?
Would you re-hire the applicant?
Would you recommend that this applicant be approved as a Qualifying Agent?
If "No," please indicate reason(s):
What position did the applicant have with your company? (Please check all that apply.)
OfficerPartnerManager
DirectorProprietorOther, specify:
GENERAL WORK HISTORY:
OutstandingExceeded RequirementsMet Requirements
Needed ImprovementUnsatisfactory
I have reviewed ALL the information on this document and attest that to the best of my knowledge the information concerning the contract security company / law enforcement agency I represent is accurate and truthful.
Contract Security Company / Law Enforcement Agency Representative Name:
Title:
License Number (if applicable): State of Licensure:
Contract Security Company / Law Enforcement Agency Representative Signature:
Date:

Utah Division of Occupational and Professional Licensing 160 East 300 South, P.O. Box 146741 Salt Lake City, Utah 84114-6741

FAX: (801) 530-6511

REQUEST FOR VERIFICATION OF LICENSE

(Use this form to verify licensure from another state, if applicable.)

TO BE COMPLETED BY THE APPLICANT:

Complete the first section of the form and submit it to a state in which you are **currently** licensed as a contract security company. Request that the verifying state complete the form and mail it directly to DOPL or return it to you for submission with your application.

Applicant Name:		
Street Address:		
City:		
State:		
I am requesting licensure in the state of Utah as a		
I am/have been licensed in your state under the na	ne	
My social security number is		
My date of birth is		
My license number in your state is/was		
I have enclosed the necessary license verification f	ee in the amount of \$	
Signature of Applicant:		

(Continued on the reverse.)

TO BE COMPLETED BY THE VERIFYING AGENCY:

Please furnish the information requested, sign and verify the document, and mail or fax it directly to DOPL or place the completed form in a sealed envelope and provide it to the applicant in person or by mail. The applicant will include the verification of licensure with his/her Utah application. Thank you.

Name of Verifying State:			
Name of Licensee (as it appears in verifying state's records	s):		
Classification of License Issued:			
License Number:			
Original Date of Licensure:	Expiration Date:		
Continuously Licensed:			
YesNo, please explain:			
Licensed By:			
Exam, Type:	Date:		
Endorsement: from what state?			
Examination Scores:			
Education Required for Licensure:			
Disciplinary Action or Pending Disciplinary Action:			
NoYes, please provide certified copies of all Petitions, Orders, etc.			
Signature:			
Title:			
Agency:			
Date:			
(SEAL)			